



**Department of
Job and Family Services**

Mike DeWine, Governor
Kimberly Hall, Director

Office of Families
and Children

FAMILY FIRST PREVENTION SERVICES ACT
OVERVIEW
and
RULES & TOOLS

October 1st & 6th, 2020



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Overview of Family First Prevention Services Act of 2018

Vincent Ciola, OFC

Julie Gilbert, Director, Butler County Children Services

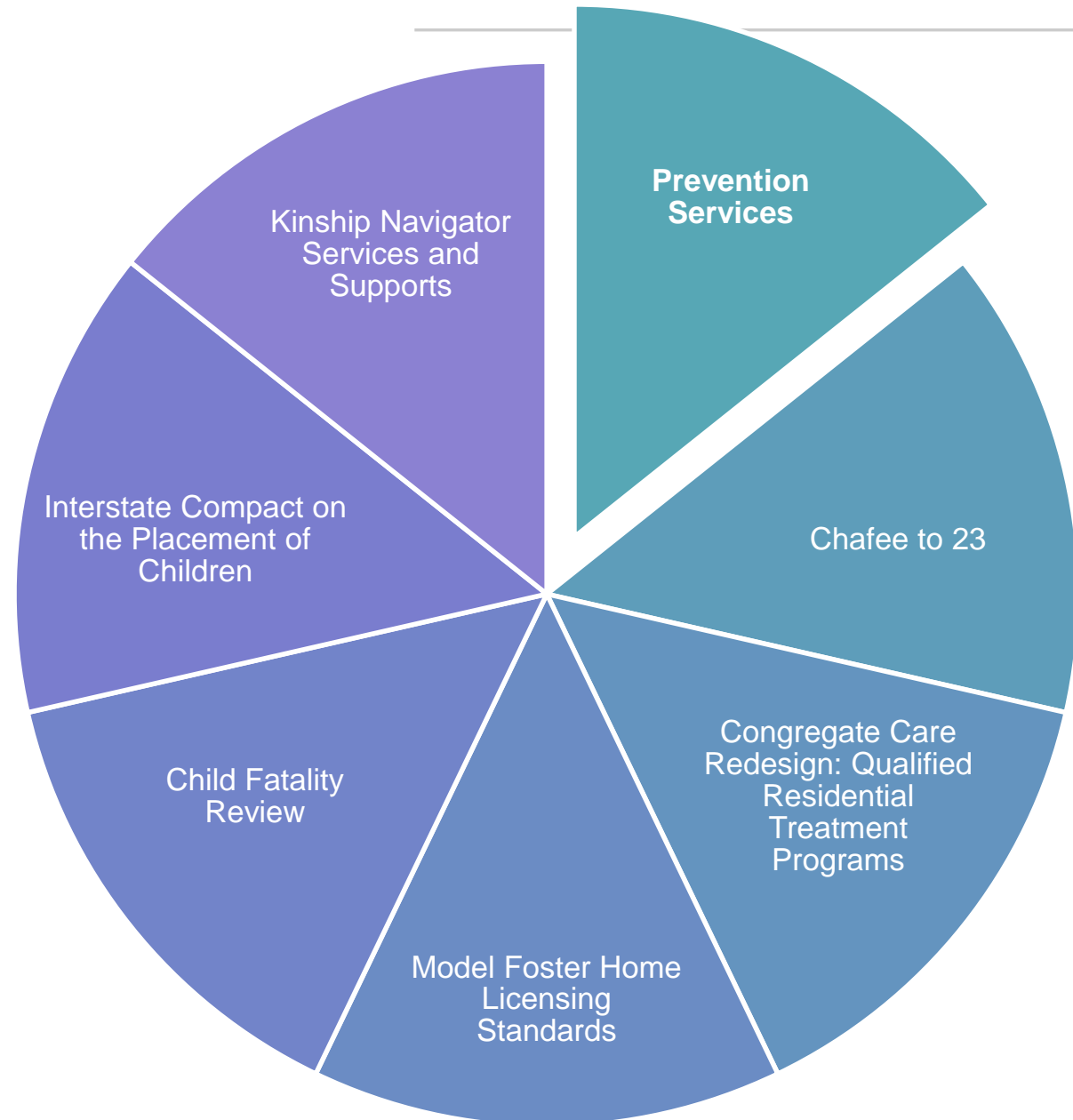
Deanna Nichols-Stika, Executive Director, Wayne County CSB



FFPSA Overview

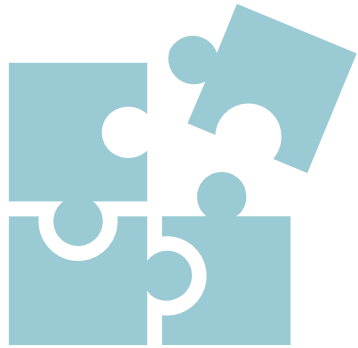
Aligns financing with research about what is best for children:

- At home, with family, and in community whenever safe and possible
- If children/youth have to enter care, they are in the most family-like setting to meet their needs



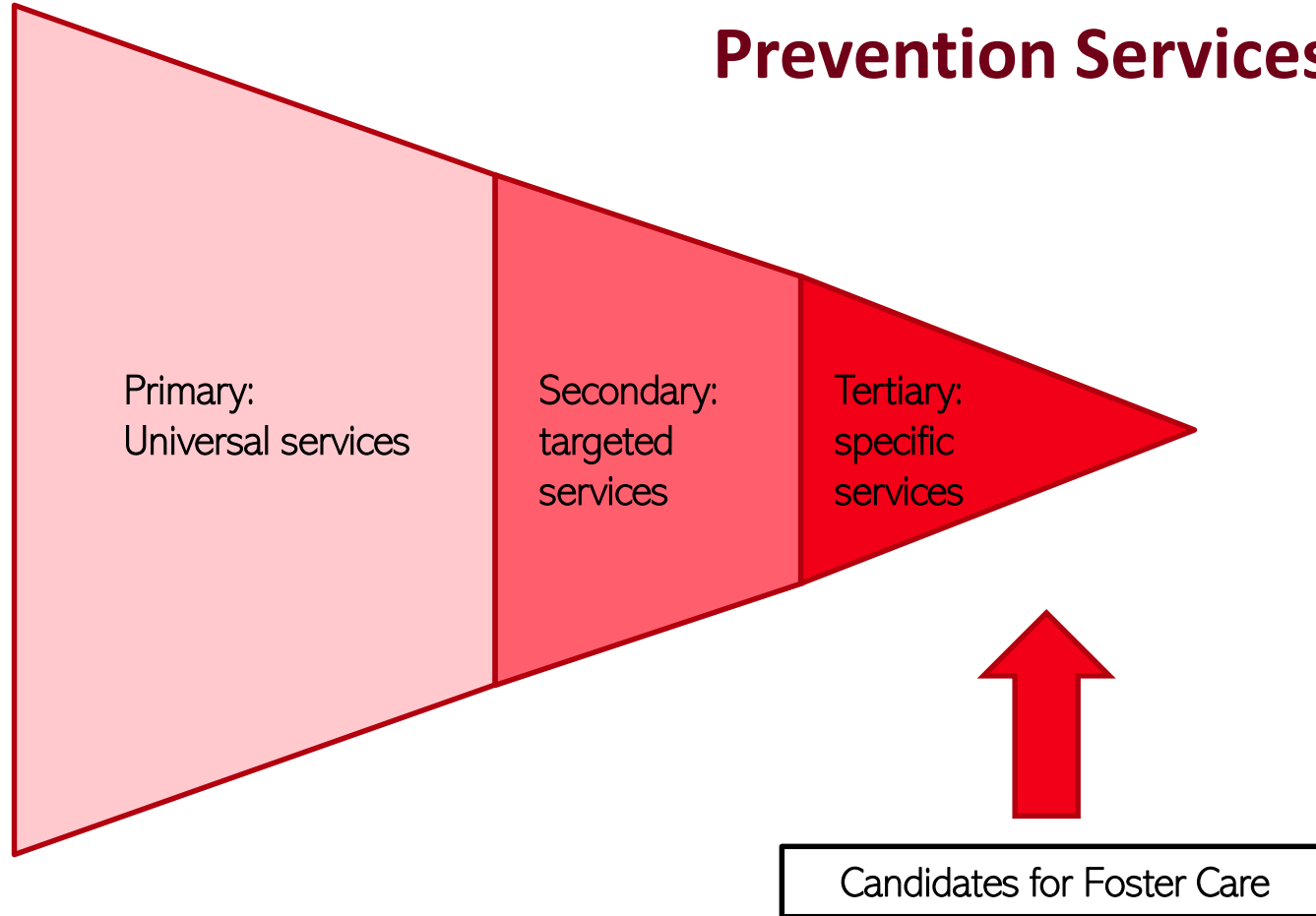


Family First + Ohio



- ❑ FFPSA is bigger than just prevention and congregate care redesign, it is about **transforming child welfare**
- ❑ OFC and PCSAs are **part of the solution** and are key to supporting a culture shift and driving practice change
- ❑ Child welfare system transformation is **aligned with, and supports priorities** of sister agencies by contributing toward overarching goals for Ohio's children and families
 - *A consistent framework and approach for Ohio's work in all areas of the state*
 - *Statewide practice model and vision for children and family services broadly*
 - *Equity in access to responsive prevention services*

Prevention Services Continuum

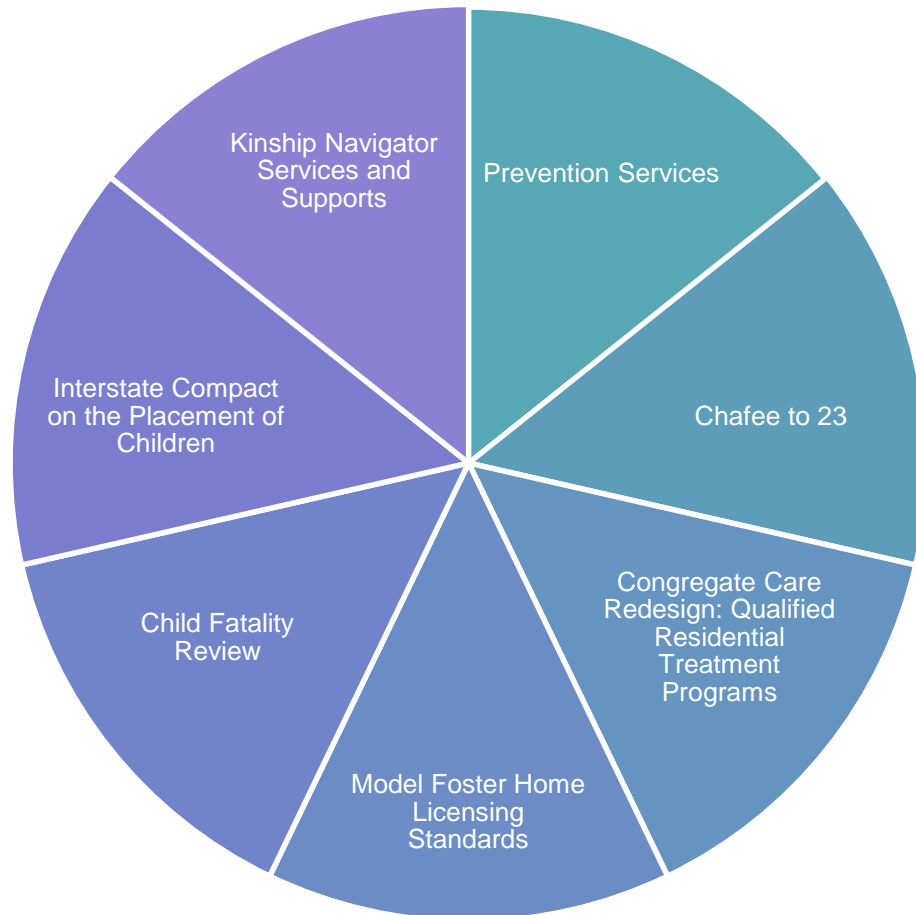


*[Resource link for a more
detailed continuum graphic](#)*



Supporting System Transformation in Ohio

Strategies for Achieving System Transformation



Ohio's System Transformation Goals

Children deserve safe families and communities that prioritize their best interests and family of origin

Children and families should have access to a standard level of care regardless of where they live

Children should be raised in family-based settings and have a family to call their own before becoming an adult



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Ohio's Plan for Prevention Services

Tile IV-E
Prevention
Services

Phase 1

- **MH:** Multisystemic Therapy, Functional Family Therapy
- **SU:** OhioSTART⁺
- **PP:** Parents as Teachers, Healthy Families America

Phase 2

- **MH:** Hi-Fi Wrap[^], Triple P, Incredible Years⁺
- **SU:** 7 Challenges⁺, Motivational Interviewing

Phase 3

- **MH:** Trauma-Focused Cognitive Behavioral Therapy, Brief Strategic Family Therapy, Child Parent Psychotherapy, Parent-Child Interaction Therapy
- **PP:** Nurse Family Partnership

Title IV-E Clearinghouse Prevention Services

	Mental Health	Substance Abuse*	Parenting^
Well-Supported	<ul style="list-style-type: none"> • Functional Family Therapy • Multisystemic Therapy* • Parent-Child Interaction Therapy • Brief Strategic Family Therapy*^ 	<ul style="list-style-type: none"> • Motivational Interviewing 	<ul style="list-style-type: none"> • Healthy Families America • Nurse-Family Partnership • Parents as Teachers • Homebuilders
Supported	<ul style="list-style-type: none"> • Multidimensional Family Therapy*^ • Interpersonal Psychotherapy (Weissman et al.) 	<ul style="list-style-type: none"> • Families Facing the Future 	<ul style="list-style-type: none"> • SafeCare
Promising	<ul style="list-style-type: none"> • Trauma-Focused Cognitive Behavioral Therapy • Child Parent Psychotherapy • Incredible Years – Toddler Basic Program and School Age Basic Program • Triple P – Positive Parenting Program (Level 4: Group, Self-Directed, and Standard) 	<ul style="list-style-type: none"> • Methadone Maintenance Therapy 	



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Family First Transition Act Funding

Amount: \$18,358,246

Purpose: Funds may be used for purposes specified in title IVB of the Social Security Act; purposes directly associated with implementing the Family First Prevention Services Act, enacted as part of Public Law 115- 123; and for activities previously funded under a child welfare waiver demonstration approved under section 1130 of the Social Security Act.

Timeline: The Family First Prevention Services Act Transition Grant Funds must be used for expenditures made between the dates October 1, 2019 through September 30, 2025. Funds must be liquidated no later than December 30, 2025.



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Proposed Services/Supports

#	Item	Amount
1	QRTP	\$4,080,000
2	Prevention Services	\$12,800,000
3	System Updates	\$1,000,000
4	Other FFPSA Work	\$478,246
	Total	\$18,358,246

Next Steps

- Filing the Title IV-E Prevention Plan with the federal government
- Implementation of prevention services
 - Prevention services rules and SACWIS enhancements
 - Prevention services training plan
 - RFP for service provision, fidelity and evaluation monitoring



Implementation

Policy and Protocols	Automated Systems	Procedure/Practice Considerations	Data Considerations	Training/Technical Assistance	Resource and Capacity Building	Communication
<ul style="list-style-type: none"> • Policy and protocols to guide practice for Family First Prevention Cases. • Develop and issue strong guidance and oversight to ensure families are served through the appropriate case track. • Update rules accordingly. 	<ul style="list-style-type: none"> • SACWIS enhancements for new Title IV-E tracking and reporting requirements. • Opportunity to update SACWIS and tools to support organizational shift and practice changes including incorporate the prevention plan. • Build out community portal to support Family First Prevention Cases. 	<ul style="list-style-type: none"> • Need to address how workers responsible for Family First Prevention Cases are organized (including caseloads). • Impact on workload of Family First Prevention Cases will vary across counties. 	<ul style="list-style-type: none"> • CQI processes, reporting of data measures, data collection and data analysis to measure outcomes over time. 	<ul style="list-style-type: none"> • Extensive training and ongoing coaching with all staff and stakeholders to explain the culture shift to providing foster care prevention services. • Title IV-E Courts will need to be trained on the new opportunities to provide prevention services (i.e. candidacy eligibility and expectations for case management). 	<ul style="list-style-type: none"> • Community-based providers will need to be strong partners for supporting families being served through a Family First Prevention Case. • An RFP for service providers an fidelity and evaluation monitoring are in development. 	<ul style="list-style-type: none"> • There must be buy-in from all stakeholders in the new vision, particularly the judiciary.



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Prevention Services Overview

Alyssa Kletrovets & Renee Lupi

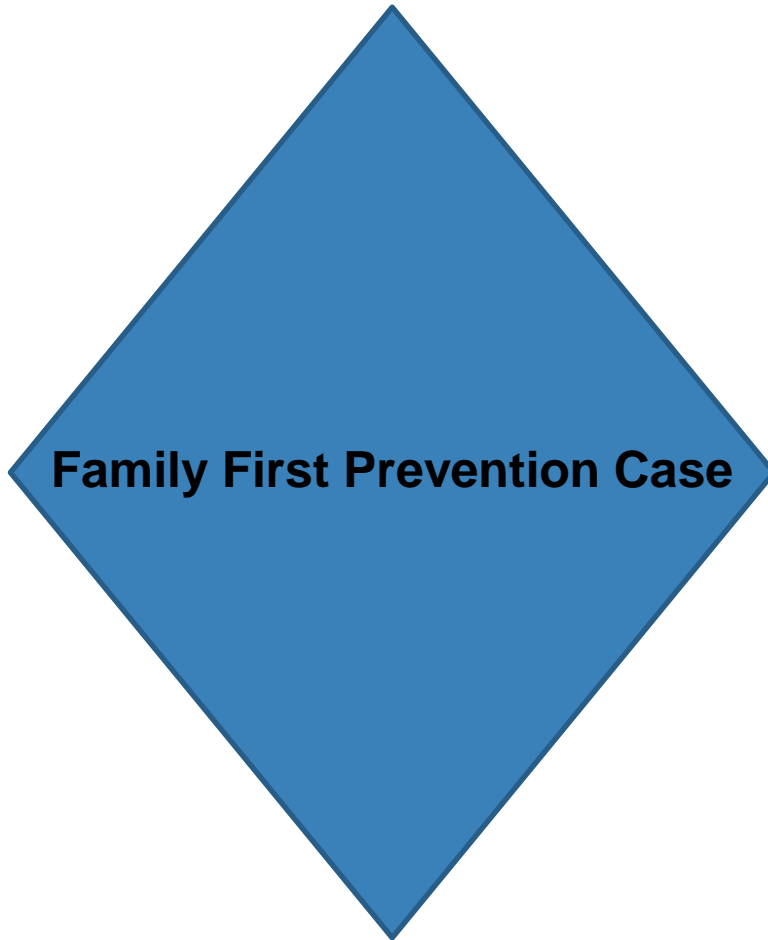
CPS Policy

Overview

- Introductions
- Purpose of presentation
 - MORRPH = Microburst on Rule Review Policy and Hearings.
- Implementing Prevention Services
- County self-assessment



Case Map



Ohio

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Financing Shift	Organizational Shift
Services eligible for Title IV-E reimbursement	New type of case – opportunity to serve children and their families
	Strict eligibility requirements
	New policy and practice



Case Map

Existing Tools to Support Practice and Organizational Shifts

Domain	Existing Tool	Possible Adaptation Needed
Eligibility Determination by PCSA	Intake screening	Include specific candidacy eligibility categories; utilize group decision-making and RED Team to determine candidacy eligibility
	Safety Assessment	Integrate candidacy eligibility categories
	Family Assessment	Integrate candidacy eligibility categories
Prevention Plan	Existing case planning tools	Build the prevention plan into the case plan so that it appears as one plan but also can be taken out and used separately, including for when a case steps down from open case to a Family First Prevention Case. Opportunity to use OHIO Start community portal for case planning with more providers in the community.
Services	Existing case planning tools	Include evidence-based services that can be provided internally (e.g. Motivational Interviewing and Solution Based Casework)
Monitoring	90-day case review	All of the existing tools need to be adapted to look at risk more versus safety.
	Semi-annual case review	
	Family Assessment	Existing Risk Assessment Structured Decision-Making tools use some metrics that are static, including number of previous investigations and open cases. The new tool needs to be dynamic and support the assessment of risk in real-time. These updates are already in process.

Who is a candidate?

- Defined at 5101:2-1-01
- At serious risk of removal
- From entering or re-entering foster care
- Safely in the home or with kin-prevention services being provided.
- Caveats

Population to be served

- Located in rule at 5101:2-40-05
- Any intake category
- Must have a Family Assessment
- Can be served 2 ways: Family Case Plan or Prevention Plan
- Open ongoing cases can be served through the Family Case Plan

Population to be served

- The PCSA *may* provide prevention services to an eligible child who:
 - Has a screened in report by the PCSA,
 - Is not in the custody of a PCSA, and

Population to be served

- Is receiving services from at least one other system in the community which may include but not be limited to juvenile justice, behavioral health, or developmental disabilities.
- Is an infant with an inadequate plan of safe care.
- A child residing in the home with a parent who has a sibling in the TC of a PCSA (with a case plan goal of reunification).

Population to be served

- Is a child residing in the home of a child who suffered a fatality resulting from child maltreatment with a substantiated or indicated child abuse or neglect disposition.
- Is a child who suffered a near-fatality resulting from child maltreatment with a substantiated or indicated child abuse or neglect disposition.
- Is a child residing in the home with a child who suffered a near-fatality resulting from child maltreatment with a substantiated or indicated child abuse or neglect report disposition.

Population to be served

- Has discharged from PCSA custody and achieved permanency through reunification, including with a relative, within the last 12 months and the parent, guardian, or custodian agrees to prevention services and an assessment of safety and risk identifies the child is at risk of a disrupted placement.
- Has been adopted and the parent, guardian, or custodian agrees to prevention services and an assessment of safety and risk identifies the child is at risk of an adoption dissolution.

Population to be served

- Is a pregnant youth in the custody of her parent, guardian, or custodian.
- Child of a parenting youth in foster care who is not in the custody of the PCSA.
- Parenting youth and their child who are not in the custody of the PCSA.

Prevention Services through a Prevention Plan

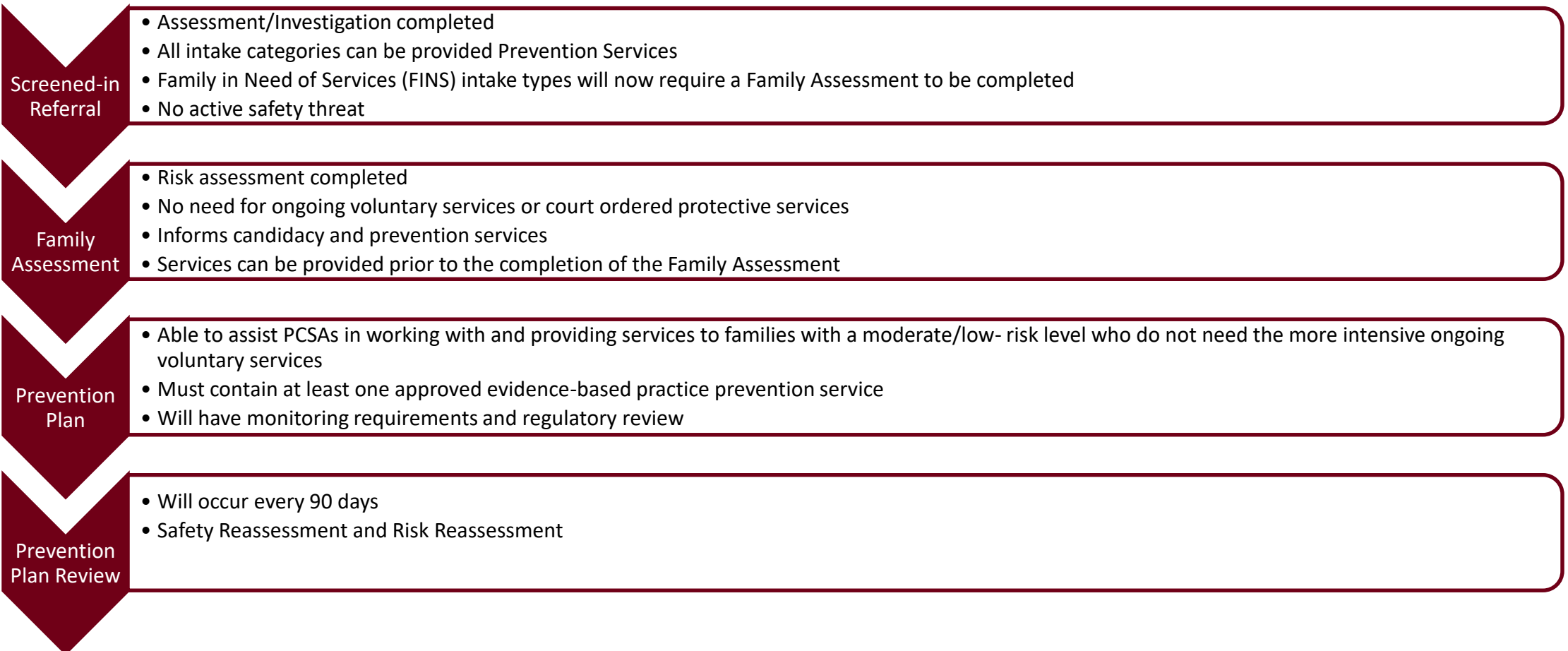
Prevention services seek to keep a child from coming into foster care by mitigating those risk factors associated with child maltreatment.

- Determine candidacy.
- PCSAs will determine eligibility.
- Complete a Family Assessment.
- Offer a prevention plan.
- The prevention plan will be developed with the family and include the most fitting evidence-based practice services to meet their specific needs.

Prevention Services through a Family Case Plan

- Prevention services through a Family Case Plan focus on families where maltreatment has occurred and seek to reduce the consequences and prevent recurrence.
- The Family Case Plan is reviewed every 90 days through the CAPMIS Case Review.
 - *Eligible prevention services (evidence-based practice services) will be an addition to the service selection and can be added to the Family Case Plan.*

Prevention Services Case Flow



Prevention Services Rule Package

- 5101:2-1-01 Children services definitions of terms
- 5101:2-36-10 PCSA requirements for responding to family in need of services reports
- 5101:2-40-02 Supportive services for prevention of placement, reunification and life skills
- 5101:2-40-05 PCSA requirements for prevention services

5101:2-1-01 Children services definitions of terms

- Candidate for foster care
- Candidate for prevention services
- Evidence-based practice services (EBPs)
- Prevention Plan

5101:2-36-10 PCSA requirements for responding to family in need of services reports

- A family assessment is required for all family in need of services reports to determine the family's eligibility for family first prevention services except for the following:
 - Deserted child
 - Emancipated youth
 - Permanent surrender
 - ICPC

5101:2-40-02 Supportive services for prevention of placement, reunification and life skills

- Added Family First Prevention Services
- Inclusion of the prevention plan and reference to 5101:2-40-05

5101:2-40-05 PCSA requirements for prevention services

- Rule created for the application of prevention services.
- Outlines candidates for prevention services.
- Provides consistent assessment of safety and risk.
- Advises when and how to use prevention services through a Family Case Plan or Prevention Plan.
 - If providing services through the prevention plan a PCSA can initiate services early.
 - Includes monitoring, documentation, review, update, transfer, and closure policies.

5101:2-40-05 The Prevention Plan

- Must contain:
 - At least one evidenced-based practice service.
 - Complete no later than *thirty days* from the Family Assessment.
 - Agreement, signatures, and provide copies from the child's parent, guardian, or custodian within *seven* days of the agreement.

5101:2-40-05 Monitoring

- The PCSA is to make face-to-face contact at least one time every other month to monitor the "prevention plan" and every two months the contact is to be in the child's home.
- The PCSA is expected to assess child safety during this contact, review the prevention plan, get the family's perceptions of the services they are receiving, and if they would like any changes to the services or plan.
- The PCSA is to have minimum monthly contact with each service provider involved in the prevention plan and obtain:
 - Activities completed by the provider and family.
 - Desired services outcomes and progress of the family.
 - Presenting concerns regarding child safety within the family.
 - Changes to the provider's service plan delivery.
- Contacts should always be documented in SACWIS!



5101:2-40-05 Prevention Plan Review

- The Prevention Plan is to be reviewed no later than every ninety days after the completion of the Family Assessment by completing the "Prevention Plan Review".
 - The review will be completed in SACWIS.
 - It will contain a summary of service provider and family provider contacts.

5101:2-40-05 Semi-Annual Review

- The Prevention Plan is to be reviewed no later than every one-hundred eighty days from the completion of the Family Assessment by completing a SAR.
 - Invite parties to the prevention plan
 - Provide copies to all parties pursuant to 5101:2-38-10

5101:2-40-05 Transferring

- If the PCSA determines a child to be in immediate danger of serious harm during the provision of prevention services the PCSA is to defer to OAC 5101:2-37-02 (Safety Plan).

- The PCSA is to transfer if during an active prevention plan:
 - An assessment of safety or risk identifies a need for more intense services through a family case plan
 - A safety plan is implemented
 - The family requests to be served through a family case plan

5101:2-40-05 Updating

- The PCSA is to update the prevention plan, obtain signatures, and provide copies to the parent, guardian, custodian within seven days if there is a change in:
 - Services
 - Participants
 - Service Provider

5101:2-40-05 Terminating the Prevention Plan

- If the parent, guardian, custodian no longer agrees to participate in prevention services, The PCSA is to assess the safety and risk and determine one of the following:
 - Discontinue services and close the case.
 - Offer voluntary family case plan services if safe and appropriate.
 - File a complaint with the juvenile court pursuant to ORC 2151.27 if the child is an abused, neglected, or dependent child or may become one; and intervention is needed for the child's protection.

5101:2-40-05 Case Closure

- To close a case the PCSA is to:
 - Notify the participants and service provider of the intent to close the case and terminate the prevention plan in writing; and
 - Terminate the prevention plan in SACWIS.

SACWIS is the CASE RECORD - please maintain all documentation in the system.

Rules Package Timeline

Due Date	Action Item
09/28-10/30/2020	Pre-clearance https://ohiorulereview.org/
10/19-10/30/2020	MORRPH (Microburst on Rule Review and Policy Hearing)
10/1/2020 & 11/5/2020	PCSAO Rule Review Committee Meetings
10/14-11/20/2020	Internal Clearance (posting, responses and revisions)
11/23-12/7/2020	External Clearance (posting, responses and revisions)
12/11-12/14/2020	Electronic Rule Filing packet
12/14/2020	Original File
1/2021 & 2/2021	Public Hearing/JCARR
3/1/2021	Final File
4/1/2021	Effective Date

*The presenting dates of this timeline are estimates

Prevention Plan Tool

- The Prevention Plan will be completed when a family is being provided Prevention Services and is not receiving ongoing services through the Family Case Plan.
- Linked services will populate into the tool.
- Narratives for concerns/needs, strengths/resources and service goal.
- Opportunity to change related risk contributors with explanation.
- Narratives for monitoring.

Ohio Department of Job and Family Services
PREVENTION PLAN

Section I – Identifying Information:

Will populate from SACWIS. Dropdown will allow to choose assigned Caseworker.

Case Name:	█	Case ID:	█
Agency:	█	Caseworker:	█

Section II – Concerns and Service Identification:

(This section will be repeated for each additional concern)

What are the concerns/needs for the family?

This language is synonymous with the language in the Family Case Plan

What does the family and Worker want to see happen to address the identified concerns/needs?

This language is synonymous with the language in the Family Case Plan

Related Risk Contributors (explain any additions or changes):

This will populate similarly to how it populates within the Family Case Plan. Functionality will exist to change a risk contributor with an explanation regarding the change

How will the family's progress be measured?

This language is synonymous with the language in the Family Case Plan

When will the family's progress be reviewed?

This language is synonymous with the language in the Family Case Plan

Case Member:	█	Person ID:	█
Service Category:	█	Service Type:	█
Provider:	█	Provider Contact:	█

+ability to add additional concern/service identification

Section III – Strengths:

<p>What strengths and family/community supports does the family have? <i>This language is synonymous with the language in the Family Case Plan</i></p>

Ohio Department of Job and Family Services
PREVENTION PLAN

Section IV – Signatures:

By signing below, I acknowledge understanding of the above plan and voluntarily agree to participate in the listed services and monitoring requirements.

Parent/Guardian Print: █	Parent/Guardian Signature:	Date: █
Parent/Guardian Print: █	Parent/Guardian Signature:	Date: █
Caseworker Print: █	Caseworker Signature:	Date: █
Supervisor Print: █	Supervisor Signature:	Date: █

Prevention Plan Review Tool

Completed every 90 days

- Service Review
 - Provider recommendation and participation comments
 - Barriers and family perception of service(s)
- Safety Reassessment
- Risk Reassessment
- Case/service analysis and review outcome (continue, modify, or terminate services)

Prevention Plan Review

Identifying Information

Family Name: [REDACTED]	Case ID: [REDACTED]
Agency: [REDACTED]	Caseworker/Phone Number: [REDACTED]

Service Review

Case Member: [REDACTED]	Person ID: [REDACTED]
Provider: <i>Pulled from case services</i>	Provider Contact: [REDACTED]

PROVIDER PARTICIPATION and RECOMMENDATION COMMENTS: <any new safety/risk info> <i>CW completes based on information received/discussed with service provider.</i>
BARRIER COMMENTS: <i>CW completes based on information obtained from service provider and conversation with family</i>
SERVICE OUTCOME: <dropdown: continue/modify/terminate>

+ *click here to add additional service provider*

(duplicate information above for each new provider)

FAMILY'S PERCEPTION OF SERVICE and WILLINGNESS TO CONTINUE SERVICES:

OHIO DEPARTMENT OF JOB AND FAMILY SERVICES

SAFETY REASSESSMENT

+ Enter Safety Reassessment Here

Safety Factors

Child Vulnerability

Adult Protective Capacity

Safety Response

Safety Response Based on Assessment

*RISK REASSESSMENT***Scores**

R1. Number of Prior Reports

- None Specified
- a. None
- b. One or Two
- c. Three or More

R2. Number of Children in the Home (at the time of most recent report)

- None Specified
- a. Two or Fewer
- b. Three or More

R3. Number of Adults in the Home (at the time of most recent report)

- None Specified
- a. Two or more
- b. One/none

R4. Current Age of Primary Caregiver

- None Specified
- a. 28 or older
- b. 27 or younger

R5. Either Caregiver Currently has Major Parenting Skills Problem (Excessive Discipline, Over-Controlling, Other Major Problem)

- None Specified
- a. No
- b. Yes

R6. Either Caregiver is Currently Involved in Harmful Relationships

- None Specified
- a. No
- b. Yes (some problems, major problem and/or domestic violence)

R7. Either Caregiver has a Current Substance Abuse Problem

R10. Secondary Caregiver(s) Progress Towards Case Plan Goals Since Last Assessment

- None Specified
- a. Not applicable, only one caregiver in home
- b. Successfully completed all programs recommended or actively participating in programs; pursuing case plan objectives; usually demonstrates desired behavior
- c. Moderate participation in pursuing case plan objectives; occasionally demonstrates desired behavior
- d. Minimal participation or refuses involvement; rarely or never demonstrates desired behavior

Actual Risk Level Summary

Actual Risk Level:

LOW

Will services be discontinued as a result of this review? <yes/no dropdown>

Review outcome: <continue prevention services>, <modify prevention services> or

<terminate prevention services>

CASE ANALYSIS

Describe the reasons for the case status selected above. Discuss how the service review, risk reassessment, and family perception informs the need for continued services.

If the case is being closed, provide a summary justifying case closure.

Prevention Services Summary

- All intake categories may receive prevention services
- Family Assessment must be completed for all voluntary cases
- Candidates
- New case status will be created (SACWIS functionality)
- New tool (Prevention Plan-different from the Family Case Plan)
- New review tool (Prevention Plan Review Tool)
- Evidenced-based practice services required
- Prevention Services Rules Packet